

23/5/2014



DOON UNIVERSITY, DEHRADUN
Main Examination, IVth Semester, 2014
School of Management

(MBA-2 years)
Course: MMS 538 – Banking and Financial Services

Time Allowed: 3 Hours

Maximum Marks: 50

Note: Attempt All Questions from Sections A and B.

Section A: State True/False with reason (not more than 40 words). (2x10=20 marks)

- a) Mutual Fund is a pool of money belonging to various investors.
- b) The purpose of issuing different types of Rules and Regulation by SEBI is to bring monetary gains to the investors.
- c) National Stock Exchange has been established by SEBI.
- d) In the on-line trading system at the National Stock Exchange, the balda system has been formalized.
- e) Credit rating is an authoritative guarantee regarding the credit position of a person.
- f) A venture capital firm deals with a new, risky and untested product.
- g) All NBFCs operating in India must be registered with SEBI.
- h) Securitization and Factoring are two sides of the same coin.
- i) In India, all types of short-term financing from banks must be secured.
- j) Commercial Papers can be issued only if minimum credit rating is procured by the issuer company.

Section B: Attempt any six question all carrying equal marks. (5x6=30 marks)

- a) Explain Different types of NBFC.
- b) Write a short note on the different types of bond.
- c) Write a short note on the procedure and guidelines of KYC.
- d) Explain different types of interest rate.
- e) Write a short note on the different type of money market instruments.
- f) What is interest rate risk? Explain its impact on investment decision.
- g) Explain Keynesian theory of money.
- h) Write a short note on the principles of lending.